I hereby certify that this correspondence is being deposited via Express Mail Cert. # ER408662672 with the United States Postal Service as Express mail in an envelope addressed to: Commissioner of Patents and Trademarks, Alexandria, VA 22313, on November 12, 2003, The applicant and/or attorney requests the date of deposit as the filing date. Depositor: Karen Cinq-Mars

Jaren ling mars 11/12/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of ____:

November 12, 2003

Edward Barth, et al. :

Group Art Unit:

Serial No.

Examiner:

Filed: 11/12/03

International Business Machines Corporation

2070 Route 52

Hopewell Junction, NY 12533

TITLE:

ADVANCED BEOL INTERCONNECT STRUCTURES WITH LOW-K PE CVD CAP LAYER

AND METHOD THEREOF

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure set forth in 37 C.F.R. 1.56, and further pursuant to the provisions of 37 C.F.R. 1.97 and 1.98, applicants hereby respectfully submit copies of the non-US patents and publications as listed on Form PTO-1449, attached hereto.

In citing these documents, no representation is made nor intended as to the pertinency or nonpertinency of the art, that better art than that listed is not available, or that other art is not applicable.

No fee is believed to be due for this submission. If any fees are required, however, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 09-0458.

Respectfully submitted, Edward Barth, et al.

Margaret A. Pepper Registration No. 45,008

Telephone No. 845-894-4713

INFORMATION DISCLOSURE CITATION

ATTY DOCKET NO FIS920010293US2	SERIAL NO.
Edward Barth et al.	
FILING 11/12/03	GROUP

(Use several sheets if necessary)			Edward Barth et al. GROUP				 -	
				FILING 11/12/03		a		وزين إندواكا
		U.S	S. PATENT	DOCUMENTS			·	
*EXAMINER	DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
INTIAL	5,679,169	10/21/1997	Gonzale	es et al.				· · · · · · · · · · · · · · · · · · ·
	6,080,529	06/27/2000	Ye et al	•				
	6,150,258	11/21/2000	Mounts	ier et al.			_	
	6,153,523	11/28/2000	Van Ng	o et al.				<u>-</u>
	6,184,128 B1	02/06/2001	Wang e	t al.				
	6,184,572 B1	02/06/2001	Mounts	ier et al.				
	6,187,672 B1	02/13/2001	Zhao et	al.			<u> </u>	
	6,261,951 B1	07/17/2001	Buchwa	ilter et al.				<u> </u>
	US 2001/0002333 A1	05/31/2001	Huang	et al.				
	US 2001/0002731 A1	06/07/2001	Ueda					
	US 2001/0003064 A1	06/07/2001	Ohto				<u></u>	
		FORE	IGN PATE	NT DOCUMENTS	·			
	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	OTHER DOCUMEN	NTS (Includin	ng Authoi	, Title, Date, Pertiner	nt Pages, Etc	.)		
	Soo Geun Lee et al., "Lo Japanese Journal of App	w Dielectric Cor lied Physics, Pa	nstant 3M: rt 1, Vol. 4	S a-SiC:H as Cu Diffusion, No. 4B, pp. 2663-266	on Barrier Lay 8, April 2001.	er in Cu Dual E)amascene Pro	cess,"
	2000 IEEE, A High perfo Semiconductor Research	oramnce 0.13um and Developme	Copper B ent Center,	EOL Technology with l Hopewell Junction, NY	Low-k Dielectr , Infineon Tecl	ic, R.D. Goldbla nnologies Inc.	itt et al.,IBM	
EXAMINER			-	DATE CONSIDERED				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

OF

Docket Number (Optional) Application Number FIS920010293US 2 INFORMATION DISCLOSURE CITATION Applicant(s) Edward Barth, et al. (Use several sheets if necessary) Filing Date Group Art Unit Not Yet Assigned November 12, 2003 U.S. PATENT DOCUMENTS FILING DATE EXAMINER CLASS SUBCLASS REF DOCUMENT NUMBER DATE NAME INITIAL IF APPROPRIATE 06/2000 Zhao, Bin 6,071,809 07/2002 Kudo, Hiroshi 6,420261 07/2002 6,424,038 Bao, et al. US2003/0008493 01/2003 Lee

FOREIGN PATENT DOCUMENTS								
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation		
						YES	NO	
		WO 99/33102	7/1/1999	PCT				
		FR2794286	12/1/2000	France				
		JP11330023A	11/30/1999	Japan				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

2